

## Exceeding the ADA Mandate

Small Urban:  
New Freedom Application

February 2010



**Illinois Department  
of Transportation**

**Downstate Area Programs  
5317 New Freedom  
Grant Application**

**Illinois Department of Transportation**

**Section 5317 New Freedom**

**CY 2010 Grant Application**

**All applications are to be submitted to the Metropolitan Planning Organization (MPO) or Human Services Transportation Planning (HSTP) Regional Coordinator for your county.**

**PLEASE DO NOT SEND THIS APPLICATION TO THE ILLINOIS DEPARTMENT OF TRANSPORTATION OR THE DIVISION OF PUBLIC AND INTERMODAL TRANSPORTATION**

**SUBMITTED BY**

Madison County Mass Transit District

**Please Note**  
**Do Not Substitute Sheets from any Other Application**

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## I. APPLICATION INSTRUCTIONS

### PLEASE READ ALL THE INSTRUCTIONS BEFORE FILLING OUT THIS APPLICATION!

Your application will be reviewed to ensure all required information has been provided and that the application is complete. Incomplete applications or those submitted after the application deadline will not be considered for funding.

#### GENERAL

This is an interactive form and designed to be filled out in Microsoft Word. Use the Tab key to move between fields or click directly in a text box to begin entering your information. Tab fields have been added to the first seven pages of the document to improve the navigability of the form.

#### APPLICATION CHECKLIST

- Please make sure all items listed are included with the application. The checklist signature page should be included with all other application materials.

#### APPLICANT INFORMATION

- Identify if the MPO area where the project is proposed to be implemented.

#### PROPOSED PROJECT

- Please be sure to identify each component of the project for which funding is being requested (i.e., This project is requesting capital funding for a new mini-van and dispatching software for same-day reservations, and operating funding to hire a driver and run the service).
- To determine the estimated annual number of trips generated, use one of the following equations which best suits your project (please note that trip is defined as each time a passenger boards):

*If estimate is based on trips per hour:*

**no. of trips per hour X hours of service operated per day X no. of days service is operated per year**

*Example: 3(trips/hour) X 13(hours/day) X 312(days/year) = 12,168 passenger trips generated per year*

*If estimate is based on trips per day:*

**no. of trips per day X no. of days service is operated per year**

*Example: 8(trips/day) X 220(days/year) = 3,005 passenger trips generated per year*

#### VEHICLE INVENTORY

- This inventory is only required for applicants that are not current 5311 or 5307 recipients.

#### PROJECT BUDGET

- Please manually total the project budget and review the required match calculations: All numbers are to be entered manually.
- The match requirement for capital projects (including mobility management) is 80% federal / 20% local.
- The match requirement for operating projects is 50% federal / 50% local of the net project cost. Revenues are strictly based on fares generated by the project. Please note that revenues are not an eligible operating expense and should not be included in the net operating cost of the project.
- For operating projects, outline the costs for the duration of the project (1-3 years). When estimating your cost over the three-year period, be sure to factor in cost escalations. If requesting funding for less than three years, please attach documentation stating how service will operate without additional grant funds.
- Please identify all 'other expenses' (under operating budget) in the space provided.
- Total Project Costs and Local Match must be entered manually (if you are not declaring use of TRCs)

#### SOURCES OF LOCAL MATCH

- Indicate all sources of local match and status. Status could be noted as secured or dependant upon grant approval

#### SCALABILITY

- Describe whether or not the project for which you are applying can be implemented on (a) a more limited scope or if it can (b) be implemented in phases. This question will help the selection committee decide on funding amounts for the projects selected.

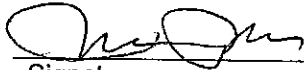
## II. NEW FREEDOM GRANT APPLICATION CHECKLIST

This checklist represents all of the information and attachments you will need to submit with your application to be considered for funding and must be completed and submitted with the application. Incomplete applications and those submitted after the deadline will not be considered for funding.

- 1.  **Signed New Freedom Grant Application Checklist (THIS PAGE!)**
- 2.  **One single sided "8 ½ x 11" copy of a completed Project Application.**  
Be sure that all parts of the Project Application are submitted. (Parts IV and V, Sections A-I)
- 3.  **Executed Resolution of the Governing Board** (sample included in Appendix A)  
\*If Board meeting schedule conflicts with application due date, Executed Resolutions will be accepted after filing period.
- 4.  **Letters of Support** (if applicable)

Jerry J. Kane, Managing Director

\_\_\_\_\_  
Name of Agency's Authorized Representative

  
\_\_\_\_\_  
Signature

2/12/10  
\_\_\_\_\_  
Date

### DO NOT COMPLETE THE SECTION BELOW THIS LINE – DPIT STAFF USE ONLY

MPO/HSTP Region:	_____
Project Name	_____
Project Type	<input type="checkbox"/> Rolling Stock <input type="checkbox"/> Non-Rolling Stock <input type="checkbox"/> Mobility Mgmt. <input type="checkbox"/> Operating
<b>Total Project Cost \$</b>	_____
Federal Funding Request \$	_____
Toll Revenue Credits Request \$	_____
MPO Review Completed by:	_____
Signature	Date

<b>A complete, original copy of this application is on file with the Illinois Department of Transportation Division of Public and Intermodal Transportation.</b>		
An administrative staff review was completed by :		
Name	Signature	Date



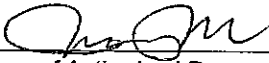
Project Information

Specific Area To Served:	City(ies)	<u>All Madison County communities</u>
	County(ies)	<u>Madison County, Illinois</u>
	Other	<u></u>

Service Provider (if different than above)  
Use additional sheets if more than one Service Provider.

Organization Name:	<u>Madison County Mass Transit District</u>
Contact:	<u>Jerry J. Kane</u>
Title:	<u>Managing Director</u>
Address:	<u>One Transit Way, PO Box 7500</u>
City/State/Zip:	<u>Granite City, IL 62040</u>
Telephone:	<u>(618) 797-4600</u>
E-mail:	<u>jkane@mct.org</u>

I certify that the information and statements provided in this application, and all supporting documents are correct and complete.

  
 Signature of Authorized Representative (As Authorized by Board Resolution)

2/12/10  
 Date

Jerry J. Kane  
 Print Name of Authorized Official

Managing Director  
 Title of Authorized Official



## IV. PROJECT APPLICATION

### A. Applicant, Existing Services, and Service Area

1. Please provide a brief description of the applicant and its background with implementing this type of service

The Madison County Mass Transit District (MCT) is a municipal corporation organized pursuant to the Local Mass Transit District Act (70 ILCS 3610) of the Illinois State statutes. MCT currently maintains a fleet of 111 buses and operates 23 fixed-routes, with an average annual ridership of 2.3 million. MCT also operates a curb-to-curb Paratransit service for the elderly and disabled known as Runabout. With more than 20 years of experience in: shuttle service design and implementation and vehicle procurement, inspection and maintenance, MCT is more than capable of developing, implementing and operating the bus route that is referenced in this application.

2. Provide a brief description of the applicant's existing services.

MCT provides 23 fixed-route public bus routes, Paratransit service for the disabled and elderly, operates RideFinders, the rideshare program for the entire St. Louis region and has developed more than 100 miles of scenic Madison County bikeways. Working in conjunction with the other St. Louis area organizations, MCT provides an important link in the chain of seamless regional transportation services.

3. Provide a description of the service area. Attach any maps if necessary.

MCT's fixed-route and Paratransit service area encompasses sixteen (16) out of twenty-four (24) townships in Madison County, Illinois and covers four hundred eighty-two (482) of the county's seven hundred twenty-five (725) square-mile geographic area. MCT also operates the Runabout Paratransit curb-to-curb service for the elderly and disabled, which provides life-sustaining transportation for thousands of Madison County residents in all twenty-four (24) townships in Madison County and in a service area spanning 1,200 square miles.

The fixed-route service area includes the rural townships in the U.S. 40 corridor as well as the more developed townships in the western and central areas of the county. Major cities served by MCT are: Alton, Bethalto, Collinsville, Edwardsville, Glen Carbon, Godfrey, Granite City, Highland, Madison, Troy and Wood River.

Please see attached map for details.

**B. Proposed Project**

1. Describe the proposed project, indicating the specific service to be provided, to implement, support, or maintain transportation service. This should include how service will go above and beyond what is required by the transportation section of the Americans with Disabilities Act (ADA). **Be sure to identify each component of the project by the funding requested.**

This application seeks funding to purchase four (4) light duty transit vehicles to continue operating Paratransit service for elderly and disabled individuals who live or travel beyond the ¾ mile ADA-mandated service boundary for complementary Paratransit service.

2. Identify the number of estimated individuals with disabilities to be served by the project and describe how this estimate was derived.

US Census data from 2000, indicates that 9.6% of Madison County's population is living below the poverty line (\$21,834 for a family of four). Of the 9,933 registered Paratransit riders in Madison County, it's reasonable to assume that at least that same percentage are also low income – meaning that approximately 953 low income individuals will be served. In addition, there are 3,725 elderly individuals who are registered for this service and elderly individuals are considered "limited income."

3. Identify the number and locations of employers that have been contacted to be served or how the project will increase accessibility of target population to employment / employment opportunities.

MCT coordinates with the following employment centers to bring individuals who live beyond the ¾ mile boundary to work on a regular basis: Challenge Unlimited, Wal-Mart locations in Highland, Glen Carbon, Collinsville, and Granite City; McDonald's locations in Alton, Bethalto, Wood River, Edwardsville, Highland and East Alton; Impact, New Opportunities, the Salvation Army, William BeDell ARC, Coordinated Youth, US Federal Building, Showplace 12, Dept. of Rehabilitation Services, Golden Corral, Dierbergs, Granite City Township, Elmwood Care Center, Anderson Hospital, Hawthorne Animal Center, Gateway Regional Medical Center, Jim's Pawn Shop, Cambridge House, Phoenix Recycling and Shredding and many, many other locations.

4. Estimate the number of one way passenger trips per year this service will generate. A trip is defined by each time an individual passenger boards.

It is estimated that approximately 10,400 one-way passenger trips per year are generated by this service.

5. Identify the service area to be covered by the project (attach maps if necessary).

This project will provide service to those qualified individuals who live outside the ¾ mile ADA boundary in communities throughout Madison County.



**D. Responsiveness to Project Selection Criteria**

1. Describe how the project responds to one or more of the strategies identified in the regional HSTP. This includes service gaps, challenges of the project area, or other issues:

Maintaining Current Non-Duplicative Mobility Services: MCT's entire fleet of fixed-route buses has passed its FTA-mandated useful life expectancy. For MCT to continue operating this and other MCT bus routes it is critical that funding be received for replacement buses. With an expired fleet, it will be difficult to maintain existing service levels for transportation-disadvantaged individuals in the Madison County area.

Enhancing Mobility: By traveling beyond the ¾ mile boundary, MCT expands transit access for transportation-disadvantaged groups, linking these individuals to jobs, medical centers, shopping, errands, and visiting loved ones.

2. Describe how the project demonstrates coordination among local jurisdictions, interested stakeholder organizations, and other agencies. Coordination can include activities such as joint planning or purchasing, sharing vehicles, dispatching, scheduling, training or maintenance, or coordinating client trips.

MCT coordinates transportation with a number of Madison County agencies such as Davita Dialysis, with centers throughout the region, Nursing Homes, such as Eunice Smith, William BeDell Achievement and Resource Center and many others.

- a. Indicate how other entities will be involved in coordination efforts and/or the project. Letters of support can be included as attachments to the application

These agencies not only assist MCT by disseminating information to their clients on a regular basis, they help clients with the application process, and serve as an advocate for MCT as they work with other agencies throughout the region.

3. Describe your agency's ability to manage the project, including its financial, human resources, and institutional capabilities. Please include a description of staff and years of experience.

As indicated on a previous page, MCT has more than 20 years of experience in: shuttle service design, implementation, vehicle procurement, inspection and maintenance. MCT is more than capable of developing, implementing and operating the bus route that is referenced in this application and sustaining it indefinitely.

The agency has more than 200 full-time employees and 66 part-time employees.

Key personnel include: MCT Managing Director, Jerry Kane, with more than 25 years of experience. Seven full-time directors on staff oversee the following areas: Accounting (20 yrs), Administration (15 yrs), Fleet (20 yrs), Marketing & Planning (6 yrs), Fixed-Route Operations (15 yrs), Management Information Systems (20 yrs), Engineering (15 yrs) and RideFinders (10 yrs). Each director oversees the appropriate number of support staff to fulfill the respective duties of his or her department.

4. What efforts have been undertaken to identify and obtain local funding for this project? What local funding sources have been committed to the project? (Attach documentation if necessary).

MCT has committed local sales tax revenue to paying the local match for this grant.

5. Describe how this new service will be promoted / marketed to potential users.

Information regarding this service will continue to be distributed to social service agencies, cooperating townships and other appropriate stakeholders. MCT also frequently distributes press releases for publication in Madison County media outlets, regarding its diverse array of transportation services.

6. Briefly describe the new ideas, new technologies, innovative partnerships, creative funding sources, or other characteristics of the project that make it an innovative solution that responds to unmet transportation needs, and how the idea can be replicated elsewhere in the state.

Innovative use of Technology: MCT has recently begun procuring a new Paratransit scheduling software known as StrataGen which will combine the use of Mobile Data Terminals (MDTs) and Integrated Voice Response (IVR) for faster, more affordable and more efficient scheduling. The MDT technology will allow dispatchers to see where buses are located at all times for real time scheduling and problem solving. The IVR system will automatically call passengers at a designated time before the bus arrives and let them know the amount of time before the bus will be at their residence. These technologies will also evaluate origin/destination information each day and will create schedules and runs for the most efficient use of drivers and vehicles. They will also allow for same-day scheduling.

Service Design: To provide expanded Paratransit service beyond the ¾ mile boundary to the elderly and disabled, MCT has designed a “Modified Service Area” and corresponding fare structure which expands the reach of the service. In addition, this innovative service design allows for elderly and disabled individuals throughout Madison County to travel by Paratransit for life-sustaining medical trips.

Innovative Partnership: By contracting with a number of social service agencies in Madison County, (listed below) MCT is able to ensure that individuals who do not qualify for MCT's service, but still desire Paratransit service, have a means of travel. Not only do these agencies assist MCT in providing trips, MCT maintains their vehicles and tracks ridership.

7. Describe your agency's involvement in the local transportation planning process.

MCT is integral to the local transportation planning process and participates at every level. MCT Manager of Paratransit Operations Susan Thomas serves as Chair of the Region 9 HSTP Committee which prioritizes regional transportation goals for rural areas. MCT works closely with the MPO, East-West Gateway Council of Governments in setting the regional transportation agenda, identifying specific needs and finding appropriate solutions.

Perhaps MCT's greatest contribution to the local transportation planning process is that it maintains vehicles and tracks ridership for 10 Madison County social service agencies throughout the county that complement and expand MCT's fixed-route and Paratransit services. Those agencies are: the Alton YWCA, the Edwardsville Senior Center, the Bethalto Seniors, Challenge Unlimited, Collinsville Township, Glen Carbon Seniors, Granite City Township, Senior Services Plus in Alton, St. Joseph's Friends Van in Highland and the Tri-City Association for the Handicapped in Granite City.

**E. Project Budget**

Capital Equipment (Rolling Stock, Non-Rolling Stock, Mobility Management)		Total Capital Cost			
<b>Rolling Stock</b>					
Four (4) light duty transit vehicles		\$460,000			
<b>Non-Rolling Stock</b>					
<b>Mobility Management</b>					
		<b>Total Capital Cost</b>	(1)	\$460,000	
		<b>Federal Share Capital Cost</b>	(2)	\$368,000	
		<b>Local Share Capital Cost</b>	(3)	\$92,000	
Operating		Year 1	Year 2	Year 3	Total Operating Cost
Labor					
Fringe Benefits					
Fuel and Oil					
Maintenance					
Vehicle Insurance					
Purchased Transportation					
Other Expenses*					
<b>Total Operating Expenses (A)</b>	(5)				
<b>Total Operating Revenue (B)</b>	(6)				
<b>Net Operating Project Cost (A-B)</b>	(7)				
Note: The amount of eligible Operating expense does not include revenues.					
<b>Federal Share Operating (50%)</b>	(8)				
<b>Local Share Operating (50%)</b>	(9)				
<b>PROJECT COST SUMMARY</b>					
<i>**Enter sum of all sub-totals for entire project (capital and operating) in the boxes below:</i>					
		<b>Total Project Cost</b>	(1+7)	\$460,000	
		<b>Total Federal Share</b>	(2+8)	\$368,000	
		<b>Total Local Share</b>	(3+9)	\$92,000	
		<b>Total Revenue</b>	(6)		
* Please Indicate Other Expenses Here:					

**F. Sources of Project Funding**

<b>Capital Project Funding</b>		
	<b>Rolling Stock</b>	
	Indicate Source of Local Match:	<i>Local Sales Tax Revenue</i>
	Status of Match	<i>Funds are Available</i>
	<b>Non – Rolling Stock</b>	
	Indicate Source of Local Match:	
	Status of Match	
<b>Operating Project Funding</b>		
	<b>Year 1</b>	
	Indicate Source of Match Y1:	
	Status of Match Y1:	
	<b>Year 2</b>	
	Indicate Source of Match Y2:	
	Status of Match Y2:	
	<b>Year 3</b>	
	Indicate Source of Match Y3:	
	Status of Match Y3:	
<b>Mobility Management Project Costs</b>		
	Indicate Source of Local Match:	
	Status of Match	

**G. Project Scalability**

1. Could the project be implemented on a more limited scope with less funding?

Yes     No

If "Yes" please describe:

2. Could the project be implemented in phases depending upon the availability of project funding?

Yes     No

If "Yes" please describe:



**H. Project Timeline**

Provide an estimated operational plan for providing the service including timeline and milestones

<b>Timeline and Project and Milestones</b>	
Describe briefly the major steps that will be followed from project start through project end. For the Date, please indicate estimated Completion of each task after grant approval.	
<b>Milestone</b>	<b>Date</b>
<i>Fleet Manager develops specifications for three heavy-duty buses.</i>	<i>Completed January 2010</i>
<i>Procurement Specialist develops and distributes RFP.</i>	<i>Completed September 2010</i>
<i>Winning bidder selected, contract signed and work on buses begins.</i>	<i>Completed November 2010</i>
<i>Buses completed, detailed and delivered, subject to production schedule.</i>	<i>November 2011</i>
<i>Fleet Manager inspects vehicles upon arrival.</i>	<i>December 2011</i>
<i>New vehicles are placed into service.</i>	<i>January 2012</i>

**I. Joint Certifications and Assurances for Grantees**

**Illinois Department of Transportation (“IDOT”) and Federal Transit Administration (“FTA”) Assistance Programs Joint Certifications and Assurances for Grantees**

Each Grantee will execute the following applicable assurances and certifications to cover all applications and government agreements that include federal capital and operating assistance. The fifteen categories of certifications and assurances are listed by roman numerals I through XV. All Grantees must make all certifications and assurances in Category I. Categories II through XIII will apply to some, but not all Grantees. The categories correspond to the following description or circumstances mandating submission of specific certifications, assurances or agreements.

The Grantee agrees to comply with all the applicable requirements of IDOT and FTA Assurance Programs Joint Certifications and Assurances for Grantees hereinafter listed.

(The Grantee may make this selection instead of individual selections below.)

**OR**

The Grantee agrees to comply with the applicable requirements of the following categories it has selected:

- |      |  |                          |
|------|--|--------------------------|
| (1)  | Certifications and Assurances Required of Each Grantee   | <input type="checkbox"/> |
| (2)  | Lobbying Certification (if applicable exceeds \$100,000)   | <input type="checkbox"/> |
| (3)  | Effects on Private Mass Transportation Companies   | <input type="checkbox"/> |
| (4)  | Public hearing Certification for Projects with Substantial Impacts   | <input type="checkbox"/> |
| (5)  | Certification for the Purchase of Rolling Stock  | N/A                      |
| (6)  | Bus Testing Certification  | N/A                      |
| (7)  | Charter Service Agreement  | <input type="checkbox"/> |
| (8)  | School Transportation Agreement  | <input type="checkbox"/> |
| (9)  | Certification for Demand Responsive Service  | <input type="checkbox"/> |
| (10) | Substance Abuse Certifications   | <input type="checkbox"/> |
| (11) | Certification for a Project involving interest or Other Financing Costs  | N/A                      |
| (12) | Certification regarding Intelligent Transportation System Program Assistance   | N/A                      |
| (13) | Certifications for the Urbanized Area Formula Program, Job Access and Reverse Commute Program, and the Clean Fuels Program | <input type="checkbox"/> |
| (14) | Certifications and Assurances for the Elderly and Persons with Disabilities Program  | N/A                      |
| (15) | Certifications for the Nonurbanized Area Formula Program   | <input type="checkbox"/> |
| (16) | Certifications and Assurances for the State Infrastructure Bank Program (Not applicable in Illinois)                       | N/A                      |

**The last page of the Joint Certifications and Assurances as well as the Affirmation of the Grantee’s Attorney must be appropriately completed, signed and attached with this application.**

## 1. Certifications and Assurances Required of Each Grantee

### A. Authority of Grantee and Its Representatives

The authorized representative of the Grantee and legal counsel who sign these certifications, assurances, and agreements attest that both the Grantee and its authorized representative have adequate authority under state and local law and the by-laws or internal rules of the Grantee organization to:

- (1) Execute and file the applications for Federal assistance on behalf of the Grantee,
- (2) Execute and file the required certifications, assurances and agreements on behalf of the Grantee binding the Grantee; and
- (3) Execute grant agreements and cooperative agreements with FTA or IDOT on behalf of the Grantee

### B. Standard Assurances

The Grantee assures that it will comply with all applicable state & federal statutes, regulations, executive orders, FTA circulars, and other federal administrative requirements in carrying out any project supported by an FTA grant or cooperative agreement. The Grantee acknowledges that it is under a continuing obligation to comply with the terms and conditions of the grant or cooperative agreement issued for its approved project with IDOT or FTA. The Grantee understands that federal laws, regulations, policies, and administrative practices might be modified from time to time and those modifications may affect project implementation. The Grantee agrees that the most recent state & Federal laws, regulations, requirements and directives will apply to the project, unless IDOT or FTA issues a written determination otherwise.

### C. Debarment, Suspension, and Other Responsibility Matters for Primary Covered Transactions

Until new federal debarment and suspension regulations are promulgated that discontinue the current requirement for the Debarment and Suspension Certification and in accordance with U.S. Department of Transportation (U.S. DOT) regulations on Government wide Debarment and Suspension (Nonprocurement) at 49 CFR Part 29.510, the Grantee certifies to the best of its knowledge and belief, that it and its principals:

- (1) Are not presently debarred, suspended, proposed for debarment declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
- (2) Have not within a three year period preceding this Certification been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (3) Are not presently indicted for or otherwise criminally or by civil action by a governmental entity (federal, state or local) with commission of any of the offenses listed in paragraph (2) of the certification; and,
- (4) Have not within a three year period preceding this Agreement had one or more public transactions (Federal, state, or local) terminated for cause or default.

The Grantee certifies that if it becomes aware of any later information that contradicts the statements in paragraphs (1) through (4) above, it will promptly inform IDOT. Should the Grantee be unable to certify the statements set forth paragraphs (1) through (4) above, it shall so acknowledge with its signature and provide a written explanation to IDOT.

### D. Drug-Free Workplace Certification

Until new U.S. DOT Drug-Free Workplace regulations that rescind the requirements for a Drug-Free Workplace certification are promulgated, and in accordance with Illinois and U.S. DOT regulations on Drug-

Free Workplace Requirements (Grants) at 30 ILCS 580/1 *et seq.* and 49 CFR Part 29 at Subpart F, as amended by 41 U.S.C. Section 702 the Grantee certifies that it will provide a drug-free workplace by:

- (1) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (2) Establishing an ongoing drug-free awareness program to inform employees about:
  - (a) The dangers of drug abuse in the workplace
  - (b) The Grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and,
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace
- (3) Making it a requirement that each employee to be engaged in the performance of the grant or cooperative agreement be given a copy of the statement required by paragraph (1).
- (4) Notifying the employee in the statement required by paragraph (1) that, as a condition of employment financed with federal and state assistance provided by the grant or cooperative agreement, the employee will:
  - (a) Abide by the terms of the statement, and
  - (b) Notify the employer in writing of her or her conviction of a criminal drug statute occurring in the workplace no later than 5 calendar days after such conviction.
- (5) Notifying IDOT in writing, within 10 calendar days after receiving notice under paragraph (4)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to ever project officer or other designee on whose project activity the convicted employee was working. Notice shall include the identification number(s) of each affected grant or cooperative agreement.
- (6) Taking one of the following actions, within 30 calendar days of receiving notice number paragraph (4)(b), with respect to any employee who is so convicted:
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by federal, state or local health law enforcement, or other appropriate agency.
  - (c) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (1), (2), (3), (4), (5), and (6). The Grantee has or will provide to IDOT a list identifying its headquarters location and each workplace it maintains in which project activities supported by IDOT are conducted.

#### *E. Drug-Free Workplace Certification*

If required, the Grantee assures that each project application submitted to IDOT for assistance has been or will be submitted, as required by each state, for intergovernmental review to the appropriate state and local agencies. Specifically, the Grantee assures that it has fulfilled or will fulfill the obligations imposed on FTA by U.S. DOT regulations, "Intergovernmental Review of Department of Transportation Programs and Activities: 49 CFR Part 17.

#### *F. Nondiscrimination Assurance*

In accordance with 49 U.S. C. Section 5332, Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. Section 2000d, and U.S. DOT regulations, "Nondiscrimination in Federally Assisted Programs of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act," 49CFR Part 21.7, the Grantee assures that it will comply with all requirements pursuant to 49 CFR Part 21; FTA Circular 4702.1, "Title VI Program Guidelines for Federal Transit Administration Recipients", and other applicable directives so that no person in the United States, on the bases of race, color, national origin, creed, sex or age will be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination in any program or activity (particularly in the level and quality of mass transportation services and mass transportation related benefits) for which the Grantee receives federal financial assistance from the U.S. DOT or the FTA.

The Grantee assures that the project or program will be conducted, property acquisitions will be undertaken, and project equipment will be operated in compliance with all requirements of 49 CFR Part 21 and 49 U.S. C. Section 5332. The Grantee understands that this assurance extends to its entire facility and to equipment operated in connection with the Project.

The Grantee assures that it will take appropriate action to ensure that any transferee receiving property financed with federal assistance derived from U.S. DOT for FTA will comply with the provisions of 49 CFR Part 21 and 49 U.S.C. Section 5332. As required by 49 CFR Part 21.7(a)(2), the Grantee will include in each third party contract, subgrant, or sub-agreement appropriate clauses to impose the requirements of 49 CFR Part 21, and 49 U.S.C. Section 5332; and include appropriate provisions imposing those requirements in deeds and instruments recording the transfer of real property, structures, improvements.

The grantee assures that it will promptly take the necessary actions to effectuate this assurance. In particular, the Grantee will notify the public that complaints pertaining to discrimination in the provision of mass transportation – related services or benefits may be filed with U.S. DOT or FTA. Upon request by U.S. DOT or FTA, the Grantee assures that it will make such changes in its 49 U.S.C Section 5332 and Title VI implementing procedures as U.S. DOT or FTA may request.

*G. Assurances of Nondiscrimination on the Basis of Disability*

As required by 49 U.S. C. 5332 and in accordance with U.S. DOT regulations, "Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance," 49CFR Part 29, the Grantee assures that, as a condition to the approval or extension of any federal financial assistance from FTA or U.S. DOT to construct any facility, obtain any rolling stock or other equipment, undertake studies, conduct research, or to participate in or obtain any benefit from any program administered by FTA or IDOT, no otherwise qualified person with a disability shall be solely be reason of that disability, excluded from participation in denied the benefits of, or otherwise subjected to discrimination in any program or activity receiving or benefiting from federal financial assistance administered by the FTA or IDOT or any entity within U.S. DOT.

Specifically the Grantee assures it will implement any program or operate any facility so assisted in compliance with all applicable requirements implied by U.S. DOT regulations implementing the Rehabilitation Act of 1973, as amended, 29 U.S. C. Section 794 et seq., and the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. Section 12101 et seq. and implementing U.S. DOT regulations, 49 CFR parts 27, 37 and 38, as well as all applicable regulations and directives issued in accordance thereto by other federal departments or agencies.

*H. Procurement Compliance*

The grantee certifies that its procurements and procurement system that involved FTA assistance will comply with all applicable requirements imposed by federal and state laws, executive orders, or regulations and FTA directives (including the requirements of FTA circular 4220.1E, "Third Party Contracting Guidelines," including any revisions thereto) and other requirements FTA may issue and any revisions thereto. The Grantee certifies that it will include in its contracts financed in whole or in part with FTA assistance all clauses required by federal and state laws, executive orders, or regulations, and will ensure that each subrecipient and contractor will also include in its subagreements and contracts financed in whole or in part with FTA assistance all applicable clauses required by federal laws, executive orders, or regulations.

*I. Certifications Prescribed by the Office of Management and Budget (SF-424B and SF-424D)*

The Grantee certifies that it:

- (1) Has the legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including sufficient to pay the non-federal share of project cost) to ensure proper planning, management, and completion of the project described in its application.
- (2) Will give FTA, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or

- documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- (3) Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain.
  - (4) Will initiate and complete the work within the applicable project time periods following receipt of FTA approval.
  - (5) Will comply with all statutes relating to nondiscrimination including, but not limited to:
    - (a) Title VI of the Civil Rights Act, 42 U.S.C. Section 2000d, which prohibits discrimination on the basis of race, color, or national origin;
    - (b) Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. Sections 1681 through 1683, and 1685 through 1687, and U.S. DOT regulations, "Nondiscrimination of the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance," 49 CFT Part 25, which prohibits discrimination on the basis of sex;
    - (c) Section 504 of the Rehabilitation Act of 1973, as amended 29 U.S.C. Section 794, which prohibits discrimination on the basis of handicaps;
    - (d) The Age Discrimination Act of 1975, as amended 42 U.S.C. Sections 6101 through 6107, which prohibit discrimination on the basis of age;
    - (e) The Drug Abuse Office and Treatment Act of 1972, Pub. L. 92-255, March 21 1972, and amendments thereto, 21 U.S.C Section 1174 et seq., relating to nondiscrimination on the basis of drug abuse.
    - (f) The Comprehensive Alcohol Abuse and Alcoholism Prevention Act of 1970, Pub. L. 91-616 December 31, 1970, and amendments thereto, 42 U.S.C. Section 4581 et seq., relating to nondiscrimination on the basis of alcohol abuse or alcoholism;
    - (g) The Public Health Service Act of 1912, as amended, 42 U.S.C Sections 290dd-3 and 290ee-3, related to confidentiality of alcohol and drug abuse patient records;
    - (h) Title VIII of the Civil Rights Act, 42 U.S.C. Sections 3601 et seq., relating to nondiscrimination in the sale, rental or financing of housing;
    - (i) Any other nondiscrimination provisions in the specific statutes under which federal assistance for the project may be provided including, but no limited to 49 U.S.C Section 5332, which prohibits discrimination on the basis of race, color, creed, national origin, sex, or age, and prohibits discrimination in employment or business or business opportunity, and section 1101(b) of the Transportation Equity Act for the 21st Century, 23 U.S.C. Section 101 note, which provides for participation of disadvantaged business enterprises in FTA programs; and
    - (j) The requirements of any other nondiscrimination statute(s) that may apply to the project.
  - (6) Will comply, or has complied, with the requirements of titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (Uniform Relocation Act) 42 U.S.C. Section 4601 et seq., which among other things, provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of federal participation in purchases. As required by U.S. DOT regulations, "Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs," at 49 CFR Part 24.4, and Sections 210 and 305 for the Uniform Relocation Act, 42 U.S.C Sections 4630 and 4655, the Grantee assures that it has the requisite authority under applicable state and local law and will comply with or has complied with that Act and those U.S. DOT implementing regulations, including, but no limited to the following:
    - (a) The Grantee will adequately inform each affected person of the benefits, policies, and procedures provided for in 49 CFR Part 24;
    - (b) The Grantee will provide fair and reasonable relocation payments and assistance required by 42 U.S.C Sections 4622, 4623, and 4624; 49 CFR Part 24; and any applicable FTA procedures, to or for families, individuals, partnerships, corporations or associations displaced as a result of any project financed with FTA assistance;
    - (c) The Grantee will provide relocation payments and assistance required by 42 U.S.C. Sections 4622, 4623, and 4624; 49 CFR Part 24; and any applicable FTA procedures, to or for families, individuals, partnerships, corporations or associations in the manner provided in 49 CFR Part 24 and FTA procedures;
    - (d) Within a reasonable time before displacement, the Grantee will make available comparable placement swellings to displaced families and individuals as required by 42 U.S.C. Section 4625 (c )(3);

- (e) The Grantee will carry out the relocation process in such a manner as to provide displaced persons with uniform and consistent services, and will make available replacement housing in the same range of choices with respect to such housing to all displaced persons regardless of race, color, religion, or national origin;
  - (f) In acquiring real property, the Grantee will be guided to the greatest extent practicable under state law, by the real property acquisition policies of 42 U.S.C. Sections 4651 and 4652;
  - (g) The Grantee will pay or reimburse property owners for necessary expenses as specified in 42 U.S.C. Sections 4653 and 4653, understanding that FTA will participate in the Grantee's costs of providing those payments and that assistance for the project as required by 42 U.S.C. Section 4631;
  - (h) The Grantee will execute such amendments to third party contracts and subagreements financed with FTA assistance and execute, furnish and be bound by such additional documents as FTA may determine necessary to effectuate or implement the assurances provided herein; and
  - (i) The Grantee agrees to make these assurances part of or incorporate them by reference into any third party contract or subagreement, or any amendments thereto., relating to any project financed by FTA involving relocation or land acquisition and provide in any affected document that these relocation and land acquisition provisions shall supersede any conflicting provisions.
- (7) To the extent applicable will comply with the Davis-Bacon Act, as amended, 40 U.S.C. Section 3141 et. seq., the Copeland Act, as amended, 18 U.S.C. Section 874, and the Contract Work Hours and Safety Standards Act, as amended, 40. U.S.C. Sections 3701 et seq., regarding labor standards for federally – assisted subagreements.
- (8) To the extent applicable, will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, as amended, 42 U.S.C. Section 4012(a), which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- (9) Will comply with environmental standards that may be prescribed to implement the following federal laws and executive orders:
- (a) Institution of environmental quality control measures under the National Environmental Policy Act of 1969, as amended, 42 U.S.C. Section 4321 et seq., and Executive Order No. 11514, as amended, 42 U.S.C. Section 4321 note;
  - (b) Notification of violating facilities pursuant to Executive Order No. 11738, 42 U.S.C. Section 7606 note;
  - (c) Protection of wetlands pursuant to Executive Order No. 11900, 42 U.S.C Section 4321 note, and the Interagency Wetland Policy Act (20 ILCS 830);
  - (d) Evaluation of flood hazards in floodplains in accordance with Executive Order No. 11988, 42 U.S.C. Section 4321 note;
  - (e) Assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972, as amended, 16 U.S.C. Section 1451 et. seq.;
  - (f) Conformity of federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1995, as amended, 42 U.S.C. Section 7401 et seq.;
  - (g) Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended 42 U.S.C. Section 300h et seq.;
  - (h) Protection of endangered species under the Endangered Species Act of 1973, as amended, Endangered Species Act of 1973 as amended, 16 U.S.C. Section 1531 et seq.;
  - (i) Environmental protections for federal transit programs, including, but not limited to protections for a park, recreation area, or wildlife or waterfowl refuge of national, state, or local significance or any land from a historic site of national, state, or local significance used in a transit project as required by 49 U.S.C. Section 303;
  - (j) Protection of the components of the national wild and scenic rivers system, as required under the Wild and Scenic Rivers Act of 1968, as amended, 15 U.S.C. Section 1271 et seq.; and
  - (k) Provision of assistance to FTA and IDOT in assuring compliance with section 106 of the national Historic Preservation Act of 1966, as mended 16 U.S.C. Section 470f, Executive Order No. 11593 (identification and protection of historic properties), 16 U.S.C. Section 470 note, and the Archaeological and historic Preservation Act of 1974, as amended, 16 U.S.C. Section 469a-1 et seq.
- (10) Will comply with the Lead-Based Paint Poisoning Prevention Act, 42 U.S.C. Section 4831(b), which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- (11) Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities on which a construction project supported with FTA assistance takes place

without permission and instructions from the awarding agency. Will record the federal interest in the title of real property in accordance with FTA directives and will include a covenant in the title of real property acquired in whole or in part with federal assistance funds to assure nondiscrimination during the useful life of the project.

- (12) Will comply with FTA requirements concerning the drafting, review, and approval of construction plans and specifications of any construction project supported with FTA assistance. As required by U.S. DOT regulations, "Seismic Safety," 49 CFR Part 41.117(d), before accepting delivery of any building financed with FTA assistance, it will obtain a certificate of compliance with the seismic design and construction requirements of 49 CFR Part 41.
- (13) Will provide and maintain competent and adequate engineering supervision at the construction site of any project supported with FTA assistance to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by FTA or IDOT.
- (14) Will comply with the National Research Act, Pub. L. 93-348, July 12, 1974, as amended, regarding the protection of human subjects involved in research, development, and related activities supported by the FTA assistance and DOT regulations. "Protection of Human Subjects." 49CFR Part II.
- (15) Will comply with the Laboratory Animal Welfare Act of 1966, as amended, 7 U.S.C. Section 2131 et seq. and pertaining to the care, handling, and treatment of warm blooded animals held or research, teaching or other activities supported by FTA assistance.
- (16) Will have performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996, 31 U.S.C. Section 7501 et seq. and OMB Circular No. A-133, "Audits of States, Local governments and Non-Profit Organizations." And the most recent applicable OMB A-133.
- (17) Will comply with all applicable requirements of all other federal laws, executive orders, regulations, and policies governing the project.

## 2. Lobbying Certification Required for Each Application Exceeding \$100,000

In accordance with U.S. DOT regulations, "New Restrictions on Lobbying," at 49 CFT Part 20.110, for each application for federal assistance exceeding \$100,000, the Grantee's authorized representative certifies to the best of his or her knowledge and belief that:

- A. No federal appropriated funds have been paid or will be paid, by or on behalf of the Grantee, to any person for influencing or attempting to influence an officer or employee or any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal grant or cooperative agreement, and the extension continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal grant or cooperative agreement, the Grantee shall complete and submit Standard Form – LLL, "Disclosure For to Report Lobbying," in accordance with its instructions as amended by "Government Wide Guidance for New Restriction on Lobbying," including the information required by the form's instructions, which may be amended to omit such information as permitted by 31 U.S.C. Section 1532.
- C. The Grantee shall require that the language of this certification be included in the award documents for each sub-award at any tiers (including subcontracts, sub-grants, sub-agreements and contract under grants and cooperative agreements financed with FTA assistance) and that each grantee shall certify and disclose accordingly.

The Grantee understands that this certification is a material representation of fact upon which reliance is placed and that the submission of this certification is a prerequisite for providing Federal assistance for a transaction covered by 31 U.S.C. Section 1352; and the Grantee also understands that any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



**3. Effects on Private Mass Transportation Companies**

As required by 49 U.S.C. Section 5323(a)(1), the Grantee certifies that before it acquires property or an interest in property of a private mass transportation company or operates mass transportation equipment or a facility in competition with or in addition to transportation service provided by an existing mass transportation company it has or will have:

- A. Found that the assistance is essential to carrying out a program of projects as determined by the plans and programs of the metropolitan planning organization;
- B. Provided for the participation of private mass transportation companies to the maximum extent feasible, consistent with applicable FTA requirements and policies;
- C. Paid or will pay just compensation under state or local law to a private mass transportation company for its franchises or property acquired; and
- D. Acknowledged that assistance falls within the labor standards compliance requirements of 49 U.S.C. Section 5333(a) and 5333(b)

**4. Public Hearing Certification For A Capital Project That Will Substantially Affect a Community or Its Transit Service**

A Grantee seeking federal or state assistance under 49 U.S.C. Section 53 to acquire rolling stock must provide the certification detailed herein. As required by 49 U.S.C. Section 5323(b), the Grantee certifies that it has, or before submitting its application, will have:

- A. Provided an adequate opportunity for a public hearing with adequate prior notice of the proposed project published in a newspaper or general circulation in the geographic area to be served;
- B. Held that hearing and provided FTA and IDOT a transcript or detailed report summarizing the issues and responses, unless no one with a significant economic, social, or environmental interest requests a hearing;
- C. Considered the economic, social, and environmental effects of the project; and
- D. Determined the project to be consistent with official plans for developing the urban area.

**5. A Grantee Seeking Federal Certification of Pre-Award and Post-Delivery Audit of Rolling Stock Required for Each Grantee That Purchases Rolling Stock**

As required by 49 U.S.C. Section 5323(m), and implementing FTA regulations at 49 CFR Part 663.7, the Grantee certifies that it will that it will comply with the requirements of 49 CFR Part 663, in the course of purchasing revenue service rolling stock. Among other things , the Grantee will conduct or cause to be conducted the prescribed pre-award and post-delivery audits, and will maintain on file the certifications required by 49 CFR Part 663, Subparts B, C, and D.

**6. Bus Testing Certification Required for the Acquisition of New Buses**

In accordance with FTA regulations, "Bus Testing," at 49 CFR Part 665.7, the Grantee certifies that before authorizing final acceptance of the first bus of any new bus model with a major change in configuration or components (as described in 49 CFR Part 665) acquired or leased with federal assistance funds obligated by FTA, the following two conditions will have been met: (a) the model of the bus will have been tested at a bus testing facility approved by FTA; and (b) the Grantee will have received a copy of the test report prepared on the bus model.

**7. Charter Service Agreement**

In accordance with 49 U.S.C. Section 5323(d) and FTA regulations, "Charter Service," at 49 CFR Part 604.7, the Grantee agrees that it will provide charter service that uses equipment or equipment acquired with federal assistance authorized for 49 U.S.C. Section 53; or Title 23 U.S.C., only to the extent that there are no private charter service operators willing and able to provide the charter service that it or its recipients desire to provide unless one or more of the exceptions in 49 CFR Part 604.9 applies.

The Grantee further agrees that it will comply with the provisions of 49 CFR Part 604 before it provides any charter service using equipment or equipment provided with federal assistance authorized for the above statutes, that the requirements of 49 CFR Part 604 will apply to any such charter service that is provided, and that the definitions in 49 CFR Part 604 apply to this Agreement. The Grantee understands that a violation of this agreement may require corrective measures and the imposition of penalties, including Debarment from the receipt of further federal assistance for mass transportation.

#### **8. School Transportation Agreement**

- A. As required by 49 U.S.C. Section 5323(f) and FTA regulations, "School Bus Operations," at 49 CFR Part 605.14 the Grantee agrees that it:
- (1) Engage in school transportation operations in completion with private school transportation operators only to the extent permitted by an exception provided by 49 U.S.C. Section 5323(f) and implementing regulations, and
  - (2) Comply with the requirements of 49 CFR Part 605 before providing any school transportation using equipment or facilities acquired with federal assistance awarded by FTA and authorized by 49 U.S.C. Section 53 or Title 23 U.S.C. for transportation projects.
- B. The Grantee understands that the requirements of 49 CFR Part 605 will apply to any school transportation it provides, the definitions of 49 CFR Part 605 apply to this school transportation agreement, and a violation of this agreement may require corrective measures and the imposition of penalties, including debarment from the receipt of further federal assistance for transportation.

#### **9. Certification Required for the Direct Award of FTA Assistance to a Grantee for its Demand Response Service**

In accordance with U.S. DOT regulations, "Transportation service for Individuals with Disabilities (ADA)," AT 49 CFR Part 37.77, the Grantee certifies that its demand responsive service offered to persons with disabilities, including persons who use wheelchairs, is equivalent to the level and quality of service offered to persons without disabilities. Such service, when viewed in its entirety, is provided in the most integrated setting feasible and is equivalent with respect to: (1) response time; (2) fares; (3) geographic service area; (4) hours and days of service; (5) restrictions on trip purpose; (6) availability of information and reservation capability; and (7) constraints on capacity or service.

#### **10. Prevention of Alcohol Misuse and Prohibited Drug Use Certification**

As applicable to the Grantee pursuant to federal regulations "Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations," at 49 CFR Part 665, Subpart I, the Grantee certifies that it has established and implemented an anti-drug and alcohol misuse program, and has complied with or will comply with the applicable requirements of FTA regulations, "Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations," at 49 CFR Part 665"

#### **11. Certification for a Project Involving Interest or Other Financing Costs**

As required by 49 U.S.C. Section 5307(g), 49 U.S.C. Section 5309(g)(2)(B), 49 U.S.C. Section 5309(g)(3)(A), and 49 U.S.C. Section 5309(3), the Grantee certifies that it will not seek reimbursement for interest and other financing costs unless its records demonstrate it has used reasonable diligence in seeking the most favorable financing terms underlying those costs, to the extent FTA might require.

#### **12. Intelligent Transportation System Program Assistance**

As applicable to any Intelligent Transportation System (ITS) PROJECT OF THE Grantee assures that it will comply with, and require its contractors and subcontractors to comply with, all applicable requirements imposed by Section V (Regional ITS Architecture) and Section VI (Project Implementation) of the FTA Notice, "FTA National Intelligent Transportation System Architecture Policy on Transit Projects" at 66 Fed. Reg. 1455 et seq. dated January 8, 2001 and other FTA requirements that may be issued in connection with any ITS project it undertakes financed with Highway Trust Funds (including funds from the mass transit account) or

funds made available for the ITS program authorized by TEA-21, Title V, subtitle C, 23 U.S.C. Section 502 note.

### 13. Certifications for the Urbanized Area Formula Program, The Job Access and Reverse Commute Program, and the Clean Fuels Program

In addition to the following certifications listed in A., B., and C., and if it has received Transit Enhancement funds under 49 U.S.C. Section 5307(k) (1), the Grantee must submit in its quarterly report for the fourth quarter of the proceeding federal fiscal year a list of the Transit Enhancement projects carried out during that federal fiscal year using those funds, and that report is incorporated by reference and made part of its certifications and assurances.

#### A. Certifications Required by Statute

As required by 49 U.S.C. Section (d)(1)(A) through (J), the Grantee certifies that:

- (1) It has or will have the legal, and technical capacity to carry out the proposed program of projects;
- (2) It has or will have satisfactory continuing control over the use of the equipment and facilities;
- (3) It will adequately maintain the equipment and facilities;
- (4) It will ensure that the elderly and handicapped persons, or any persons presenting a Medicare card issued to himself or herself under Title II or Title XVIII of the Social Security Act (42 U.S.C. Section 401 et seq. or 42 U.S.C. Section 1395 et seq.), will be charged during non-peak hours for transportation using or involving a facility or equipment of a project financed with federal assistance authorized for 49 U.S.C. Section 5307 or Section 3037 of the Transportation Equity Act for the 21st Century (TEA-21), 49 U.S.C. Section 5309 note, not more than 50 percent of the peak hour fare;
- (5) In carrying out a procurement financed with federal assistance authorized for the Urbanized Area Formula Program at 49 U.S.C. Section 5307 or Section 3037 of TEA-21, 49 U.S.C. Section 5309 note, it will use competitive procurement (as defined or approved by the Secretary), it will not use a procurement using exclusionary or discriminatory specifications, and it will comply with applicable Buy America laws in carrying out a procurement;
- (6) It has complied or will comply with the requirements of 49 U.S.C. Section 5307, specifically, it has or before submitting its application it will: (a) make available to the public information on amounts available for the Urbanized Area Formula Program at 49 U.S.C. Section 5307 and, if applicable, the Job Access and Reverse Commute Grant Program, 49 U.S.C. Section 5309 note, and the program of projects it proposes to undertake with those funds; (b) develop, in consultation with interested parties, including private transportation providers, a proposed program of projects for activities to be financed; (c) publish a proposed program of projects in a way that affected citizens, private transportation providers, and local elected officials have the opportunity to examine the proposed program and submit comments on the proposed program and the performance of the Grantee; (d) provide an opportunity for a public hearing to obtain the views of citizens on the proposed program of projects; and (e) ensure that the proposed program of projects provides for the coordination of transportation services assisted under 49 U.S.C. Section 5336 with transportation services assisted by another federal government source, (f) consider comments and views received, especially those of private transportation providers, in preparing the final program of projects; and (g) make the final program of projects available to the public.
- (7) It has or will have available and will provide the amount of funds required by 49 U.S.C. Section 5307 (e) and applicable FTA policy (specifying federal and local shares of project costs);
- (8) It will comply with: (a) 49 U.S.C. Section 5301 (a) (requirements to develop transportation systems that maximize mobility and minimize fuel consumption and air pollution); (b) 49 U.S.C. Section 5301 (d) (requirements for transportation of the elderly and persons with disabilities); (c) 49 U.S.C. Section 5303 through 5306 (planning requirements); and (d) 49 U.S.C. Section 5310 (d) (programs for the elderly and persons with disabilities);
- (9) It has a locally developed process to solicit and consider public comment before raising fares or implementing a major reduction of transportation; and
- (10) As required by 49 U.S.C. Section 5307 (d)(1)(J), unless the Grantee has determined that it is not necessary to expend one percent of the amount of federal assistance it receives for this transit security projects, it will expend at least one percent of the amount of that assistance for transit security projects, including increased lighting in or adjacent to a transit system (including bus stops, subway stations, parking lots, and garages), increased camera surveillance of an area in or adjacent

to that system, emergency telephone line or lines to contact law enforcement or security personnel in an area in or adjacent to that system, and any other project intended to increase the security and safety of an existing or planned transit system.

**B. Certification Required for Capital Leasing**

As required by FTA regulations, "Capital Leases," 49 CFR Parts 639.15(b)(1) and 639.21, to the extent that the Grantee uses federal assistance authorized for 49 U.S.C. Section 5307 or Section 3037 of TEA-21, 49 U.S.C. Section 5309 note, to acquire any capital asset by lease, the Grantee certifies that:

- (1) It will not use federal assistance authorized for 49 U.S.C. Section 5307 or Section 3037 of TEA-21, 49 U.S.C. Section 5309 note, to finance the cost of leasing any capital asset until it undertakes calculations demonstrating that it is more cost-effective to lease the capital asset than to purchase or construct similar assets;
- (2) It will complete these calculations before entering into the lease or before receiving a capital grant for the asset, whichever is later; and
- (3) It will not enter into a capital lease for which FTA can only provide incremental funding unless it has the financial capacity to meet its future obligations under the lease in the event federal assistance is not available for capital projects in subsequent years.

**C. Certifications Required for Sole Purchase of Associated Capital Maintenance Item**

As required by 49 U.S.C. Section 5325(c), to the extent that the Grantee procures an associated capital maintenance item under the authority of 49 U.S.C. Section 5307(b)(1), the Grantee certifies that it will use competition to procure an associated capital maintenance item unless the manufacturer or supplier of that item is the only source for the item and the price of the item is no more than the price similar customers pay for the item, and maintain sufficient records pertaining to each such procurement on file easily retrievable for FTA or IDOT inspection.

**D. Certification Required for Clean Fuels Program**

As required by 49 U.S.C. Section 5308 (c)(2), the Grantee certifies that, in connection with any application for assistance authorized for the clean Fuels Formula Program, vehicles purchased with grant funds made available for 49 U.S.C. Section 5308 will be operated only with clean fuels.

**14. Certifications and Assurances for the Elderly and Persons with Disabilities Program**

Based on its own knowledge the Grantee, administering the Elderly and Persons with Disabilities Program authorized by 49 U.S.C. Section 5310, certifies and assures that the following requirements and conditions will be fulfilled:

- A. It will not enter into a capital lease for which FTA can only provide incremental funding unless it has the financial capacity to meet its future obligations under the lease in the event federal assistance is not available for capital projects in subsequent years.
- B. The Grantee assures that it either is recognized under state law as private nonprofit organization with the legal capability to contract with the state to carry out the proposed project, or is public body that has met the statutory requirements to receive federal assistance authorized for 49 U.S.C. Section 5310.
- C. The Grantee application for 49 U.S.C. Section 5310 assistance contains information for which the state concludes that the transit service provided or offered to be provided by existing public or private transit operators is unavailable, insufficient, or inappropriate to meet the special needs of the elderly and persons with disabilities.
- D. The Grantee assures that sufficient non-federal funds have been or will be committed to provide the required local share.
- E. The Grantee has, or will have by the time of delivery, sufficient funds to operate and maintain the vehicles and equipment purchased with federal assistance awarded for this project.
- F. The Grantee has, to the maximum degree feasible, coordinated with other transportation providers and users, including social service agencies authorized to purchase transit service, and has provided an opportunity for a public hearing in connection with any capital assistance.
- G. The Grantee is in compliance with all applicable civil rights requirements, and has signed the Nondiscrimination Assurance.

- H. The Grantee will comply with applicable requirements of U.S. DOT regulations on participation of disadvantaged business enterprises in U.S. DOT programs.
- I. The Grantee will comply with all existing federal requirements regarding transportation of the elderly and persons with disabilities. The Grantee has provided to the state an Assurance of Nondiscrimination on the Basis of Disability, as set forth in the Certifications and Assurances required of each Grantee for FTA assistance. If non-accessible vehicles are being purchased for use by a public entity in demand responsive service for the general public, the Grantee will provide a "Certification of Equivalent Service," which states that the public entity's demand responsive service offered to persons with disabilities, including persons who use wheelchairs, meets the standard of equivalent service set forth in 40 CFR Part 37.77.
- J. The Grantee will comply with the transit employee protective provisions of 49 U.S.C. Section 5333(b).
- K. The Grantee will comply with 49 CFR Part 604 in the provision of any charter service provided with equipment or facilities acquired with FTA funds.
- L. The Grantee has certified to the state that it will comply with applicable provisions of 49 CFR Part 605 and 20 ILCS 2705/49.19(6) pertaining to school bus operations.
- M. Unless otherwise noted, each of the Grantee's projects qualifies for a categorical exclusion and does not require further environmental approvals, as described in the joint FHWA/FTA regulations, "Environmental Impact and Related Procedures," at 23 CFR Part 771.117. The Grantee certifies that financial assistance will not be provided for any project that does not qualify for a categorical exclusion described in 23 CFR Part 771.117(c) until FTA has made the required environmental finding. The Grantee further certifies that no financial assistance will be provided for project requiring a conformity finding in accordance with the Environmental Protection Agency's Clean Air Conformity regulations at 40 CFR Parts 51 and 93, until FTA makes the required conformity finding.
- N. The Grantee has submitted (or will submit) all applicable certifications and assurances currently required, including, but not limited to: a certification that its procurements and procurement system will comply with all applicable requirements imposed by federal laws, executive orders, or regulations and requirements of FTA Circular 4220.1D, "Third Party Contracting Requirements," and other implementing requirements FTA may issue; a certification that its project provides for the participation of private mass transportation companies to the maximum extent feasible; a certification that it has paid or will pay just compensation understate or local law to each private mass transportation company for its franchise or property acquired under the project, a non-procurement suspension and debarment certification, a bus testing certification for new models; a pre-award and post-delivery review certification, and a lobbying certification for each application exceeding \$100,000.
- O. The Grantee recognizes FTA's and IDOT's authority to conduct audits to verify compliance with the foregoing requirements and stipulations.

#### 15. Certifications and Assurances for the Nonurbanized Area Formula Program

The Grantee administering the Nonurbanized Area Formula Program authorized by 49 U.S.C. Section 5311 certifies and assures that the following requirements and conditions will be fulfilled:

- A. The Grantee will have necessary legal, financial, and managerial capability to apply for, receive and disburse federal assistance authorized for 49 U.S.C. Section 5311; and to implement and manage the project.
- B. The Grantee assures that sufficient non-federal I funds have been or will be committed to provide the required local share.
- C. The Grantee has, or will have by the time of delivery, sufficient funds to operate and maintain the vehicles and equipment purchased with federal assistance authorized for this project.
- D. The Grantee has, to the maximum extent feasible, coordinated with other transportation providers and users, including social service agencies authorized to purchase transit service.
- E. The Grantee is in compliance with all applicable civil rights requirements, and has signed the Nondiscrimination Assurance.
- F. The Grantee will comply with applicable requirements of U.S. DOT regulations on participation of disadvantaged business enterprise in U.S. DOT programs.
- G. The Grantee will comply with all existing federal requirements regarding transportation of elderly persons and persons with disabilities. The Grantee has provided to the IDOT an Assurances of Nondiscrimination on the Basis of Disability, as set forth in the Certifications and Assurances required for each Grantee for FTA assistance in Category 1 of this document. If non-accessible vehicles are being purchased for use by a public entity in demand responsive service for the general public, the state will obtain from the Grantee

- a "Certification of Equivalent Service," which states that the public entity's demand responsive service offered to persons with disabilities, including persons who use wheelchairs, meets the standards of equivalent service set forth in 40 CFR Part 37.77c.
- H. The Grantee has complied with the transit employee protective provisions of 49 U.S.C. Section 5333(b), by one of the following actions: (1) signing the Special Warranty for the Nonurbanized Area Formula Program, (2) agreeing to alternative comparable arrangements approved by the Department of Labor (DOL), or (3) obtaining a waiver from DOL, and the state has certified the Grantee's compliance to DOL.
- I. The Grantee has certified to the state that it will comply with 49 CFR 604 in the provision of any charter service provided with equipment or facilities acquired with FTA assistance, and will also comply with applicable provisions 49 CFR Part 605 pertaining to school transportation operations. (See Category VII, "Charter Bus Agreement" and Category VIII, "School Bus Agreement.")
- J. The Grantee has certified to the state that it will comply with 49 CFR 604 in the provision of any charter service provided with equipment or facilities acquired with FTA assistance, and will also comply with applicable provisions 49 CFR Part 605 pertaining to school transportation operations. (See Category VII, "Charter Bus Agreement" and Category VIII, "School Bus Agreement.")
- K. Unless otherwise noted, each of the Grantee's projects qualifies for a categorical exclusion and does not require further environmental approvals, as described in the joint FHWA/FTA regulations, "Environmental Impact and Related Procedures," at 23 CFR Part 771.117. The Grantee further agrees that no financial assistance will be provided for a project requiring a conformity finding in accordance with the Environmental Protection Agency's Clean Air Conformity regulations at 40 CFR Parts 51 and 93, until FTA makes the required conformity final.
- L. The Grantee has submitted (or will submit) all applicable certifications and assurances currently required, including but not limited to: a certification that its procurements and procurement system will comply with all applicable requirements imposed by federal laws, executive orders, or regulations and requirements of FTA Circular 4220.1D, "Third Party Contracting Requirements," and other implementing requirements FTA may issue; a certification that its project provides for the participation of private mass transportation companies to the maximum extent feasible; a certification that it has paid or will pay just compensation under state or local law to each private mass transportation company for its franchise or property acquired under the project; a non-procurement suspension and debarment certification, a bus testing certification for new bus models, a pre-award and post-delivery review certification, a lobbying certification for each application exceeding \$100,000, and if required by FTA, an anti-drug program certification and an alcohol testing certification, and the certification required for a project involving interest or other financial costs.
- M. The Grantee recognizes FTA's and IDOT's authority to conduct audits to verify compliance with the foregoing requirements and stipulations.

**16. Certifications and Assurances for the State Infrastructure Bank Program**

Name of Grantee: Madison County Mass Transit District

Name of Authorized Representative: Jerry J. Kane

Relationship of Authorized Representative: Managing Director

**BY ENDORSING THIS SIGNATURE, I,** Jerry J. Kane

Declare that I am duly authorized by the Grantee to make the certifications and assurances on behalf of the Grantee and bind the Grantee to comply with them. Thus, when its authorized representative signs this document, the Grantee agrees to comply with all federal statues, regulations, executive orders, and administrative guidance required for any application it makes to the Federal Transit Administration (FTA) and Illinois Department of Transportation (IDOT)

IDOT and FTA intend that the certifications and assurances apply, as required, to each project for which the Grantee seeks now, or may later seek FTA and IDOT assistance.

The Grantee affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA or IDOT, and acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. Section 3801 et seq., as implemented by U.S. DOT regulations, "Program Fraud Civil Remedies." 49 CFR Part 31 apply to any certification, assurance or submission made to IDOT or FTA. The criminal fraud provisions of 18 U.S.C. Section 1001 apply to any certification, assurance, or submission made in connection with the FTA and IDOT formula assistance program for urbanized areas, and may apply to any other certification, assurance, or submission made in connection with any program administered by FTA or

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Grantee are true and correct.

2/12/10

Date




Authorized Representative of Grantee

**J. Affirmation of Grantee's Attorney**

For: Madison County Mass Transit District

As the undersigned legal counsel for the above named Grantee, I hereby affirm that the Grantee has authority under state and local law to make and comply with the certifications and assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the certifications and assurances have been legally made and constitutes legal and binding obligations on the Grantee.

I further affirm that, to the best of my knowledge is no legislation or litigation pending or threatened that might adversely affect the validity of these certifications and assurances, or of the performance of the project.

  
\_\_\_\_\_  
Grantee's Attorney

2-12-10  
\_\_\_\_\_  
Date



## Appendices

- 2007 U.S. DOT FTA Triennial Review Certificate
- MCT Board of Trustees Resolution Authorizing the Filing of Applications and Obligating Local Funds
- MCT District Boundary Map
- Public Hearing Briefing Paper
- Certificate of Notice for Public Hearing
- Letter of Support: Madison County Board Chairman Alan Dunstan
- Letter of Support: William BeDell ARC Program Director, Bill Lawson
- Letter of Support: Jackie Ballard, Davita Dialysis

# Achievement of Excellence

*presented to*

Madison County Transit District  
Granite City, Illinois

*by*



Federal Transit Administration  
Region V

Certificate for exemplary completion of a U.S. DOT Federal Transit Administration Triennial Review during Fiscal Year 2007 presented to federal-funded public transportation providers and agencies in Region V who had no findings in the areas examined.

Marisol R. Simón, Regional Administrator

## RESOLUTION 10-18

### **AUTHORIZING THE FILING OF APPLICATIONS WITH THE ILLINOIS DEPARTMENT OF TRANSPORTATION, DIVISION OF PUBLIC AND INTERMODAL TRANSPORTATION FOR JOB ACCESS REVERSE COMMUTE (JARC) AND NEW FREEDOM GRANT FUNDING**

**WHEREAS**, the Madison County Mass Transit District (District) was created in December, 1980 by resolution of the Madison County Board pursuant to Section 3 of the Local Mass Transit District Act, approved July 21, 1959, as amended (70 ILCS 3610/1 et. seq.); and,

**WHEREAS**, among the responsibilities of the District is the provision and enhancement of public transit and Paratransit services; and,

**WHEREAS**, Section 5316 and 5317 of the Federal Transit Act of 1991, as amended (49 U.S.C. 5311), makes funds available to help offset certain eligible capital or operating expenses; and,

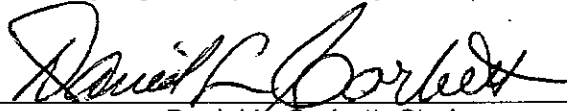
**WHEREAS**, grants for said funds will impose certain obligations upon the recipient, including the provision by it of the twenty percent (20%) local share of funds necessary to cover costs not covered by funds provided under Sections 5316 and 5317 of the Federal Transit Act of 1991, as amended (49 U.S.C. 5311); and,

**WHEREAS**, it is necessary and beneficial for the Madison County Mass Transit District to submit applications to the State of Illinois, Department of Transportation, Division of Public and Intermodal Transportation, in order to obtain two million, forty thousand dollars (\$2,040,000) of Section 5316 JARC grant assistance and to obtain six hundred forty-four thousand dollars (\$644,000) of Section 5317 New Freedom grant assistance for the procurement of transit buses.

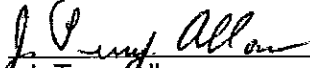
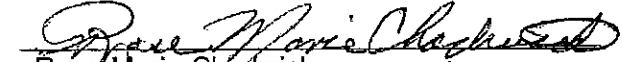
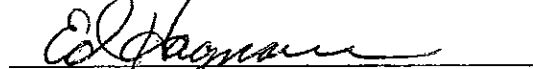
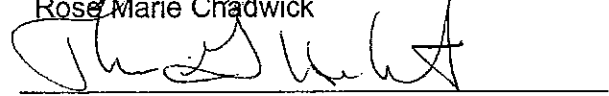
**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:**

1. The Madison County Mass Transit District file applications with the State of Illinois, Department of Transportation, Division of Public and Intermodal Transportation, in order to obtain two million, forty thousand dollars (\$2,040,000) of Section 5316 JARC grant assistance and to obtain six hundred forty-four thousand dollars (\$644,000) of Section 5317 New Freedom grant assistance.
2. Upon approval of its applications, the Madison County Mass Transit District increase its Fund Balance Reserve by six hundred seventy-one thousand dollars (\$671,000), or an amount equivalent to twenty percent (20%) of the combined approved project costs.
3. Upon approval of its applications, the Madison County Mass Transit District Capital Budget line item be increased by three million, three hundred fifty-five thousand dollars (\$3,355,000), or an amount equivalent to the combined approved project costs.
4. Jerry J. Kane, Managing Director of the Madison County Mass Transit District, is hereby authorized to take all action necessary to execute, complete, and perform all obligations associated with the filing of the applications and to execute, complete, administer, and perform all obligations associated with any resulting contracts, furnish such additional information as may reasonably be required in connection with the aforesaid actions, and to take any and all such further actions as are necessary and appropriate, including any and all change orders and amendments, on behalf of and in a manner most beneficial to the Madison County Mass Transit District.

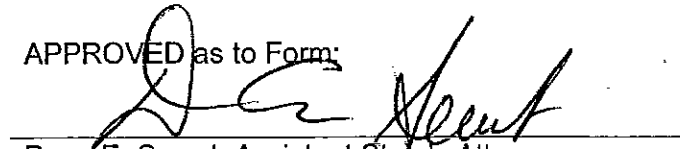
ADOPTED, by the Board of Trustees of the Madison County Mass Transit District, Madison County, Illinois, on this twenty-eighth day of January, 2010.



Daniel L. Corbett, Chairman

  
J. Terry Allan  
Rose Marie Chadwick  
Edward A. Hagnauer  
Thomas G. Hoechst

APPROVED as to Form:

  
Dean E. Sweet, Assistant State's Attorney

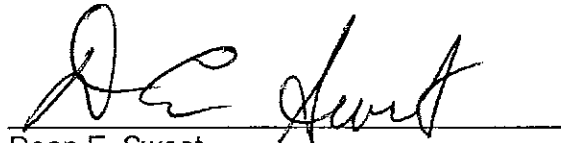
## OPINION OF COUNSEL

I, the undersigned, am an attorney licensed by and duly admitted to practice law in the State of Illinois, and am counsel and attorney for the Madison County Mass Transit District (Applicant). In this capacity, my opinion has been requested relative to the eligibility of the Applicant for grant assistance under the provisions of Civil Administrative Code of Illinois (Part 8.5), 20 ILCS 2705/49 et seq.; the Civil Administrative Code of Illinois (Part 9), 20 ILCS 5/51; and the General Obligation Bond Act, 30 ILCS 330/4 (Acts). You are hereby advised as follows:

1. The Applicant is an eligible "participant" as defined by the Acts.
2. There are no provisions in the Applicant's charter or by-laws or in the statutes of the State, the United States of America, or any municipal or other local ordinances, that preclude or prohibit the Applicant from making said application for or contracting with the State for the purpose of receiving a State capital improvement grant.
3. The undersigned has no knowledge of any pending or threatened litigation, in either Federal or State courts, which would adversely affect this application, or which seeks to prohibit the Applicant from contracting with the State for the purpose of receiving a State capital improvement grant.

Based upon the foregoing, I am of the opinion that the Applicant is an eligible recipient under the provisions of the Acts, and that it is fully empowered and authorized to apply for and to accept the grant from the State.

Signature:

  
\_\_\_\_\_  
Dean E. Sweet  
Assistant Madison County State's Attorney

Date:

1/28/2010

## CERTIFICATE

I, Erin L. Werner, do hereby certify that I am the fully qualified and acting Secretary of the Board of Trustees of the Madison County Mass Transit District, and as such Secretary, I am the keeper of the records and files of the Madison County Mass Transit District.

I do further certify that at a duly constituted and legally convened meeting of the Board of Trustees of the Madison County Mass Transit District held on Thursday, January 28, 2010, a resolution was adopted in full accordance and conformity with the by-laws of the Madison County Mass Transit District and the statutes of the State of Illinois, as made and provided, and that the following is a full, complete, and true copy of the pertinent provisions of said Resolution.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE MADISON COUNTY MASS TRANSIT DISTRICT THAT:**

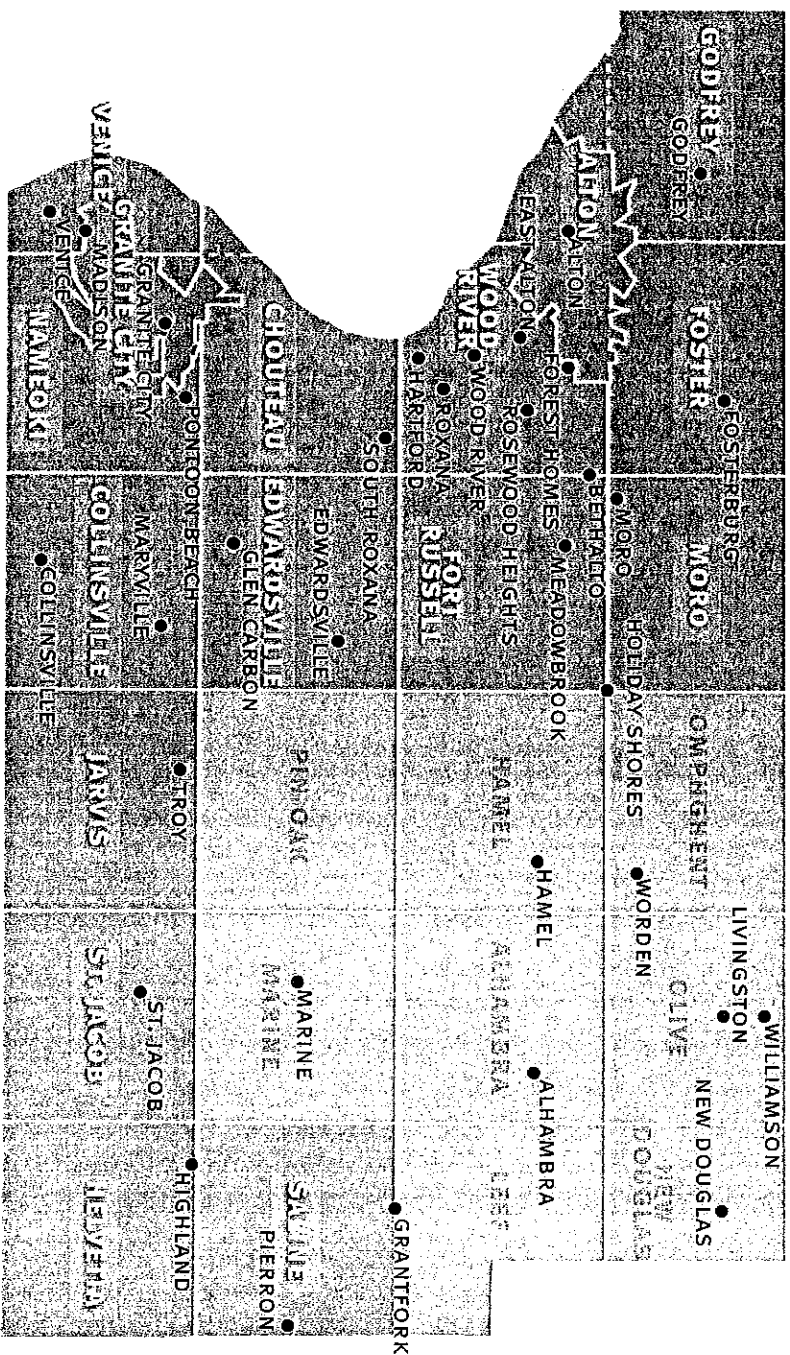
1. The Madison County Mass Transit District file applications with the State of Illinois, Department of Transportation, Division of Public and Intermodal Transportation, in order to obtain two million, forty thousand dollars (\$2,040,000) of Section 5316 JARC grant assistance and to obtain six hundred forty-four thousand dollars (\$644,000) of Section 5317 New Freedom grant assistance.
2. Upon approval of its applications, the Madison County Mass Transit District increase its Fund Balance Reserve by six hundred seventy-one thousand dollars (\$671,000), or an amount equivalent to twenty percent (20%) of the combined approved project costs.
3. Upon approval of its applications, the Madison County Mass Transit District Capital Budget line item be increased by three million, three hundred fifty-five thousand dollars (\$3,355,000), or an amount equivalent to the combined approved project costs.
4. Jerry J. Kane, Managing Director of the Madison County Mass Transit District, is hereby authorized to take all action necessary to execute, complete, and perform all obligations associated with the filing of the applications and to execute, complete, administer, and perform all obligations associated with any resulting contracts, furnish such additional information as may reasonably be required in connection with the aforesaid actions, and to take any and all such further actions as are necessary and appropriate, including any and all change orders and amendments, on behalf of and in a manner most beneficial to the Madison County Mass Transit District.

I further certify that the original of the complete said resolution is on file in the records of the Madison County Mass Transit District in my custody. I do further certify that the foregoing Resolution remains in full force and effect.

IN WITNESS WHEREOF, I have hereunto affixed my official signature as Secretary of the Madison County Mass Transit District on this twenty-eighth day of January, 2010.

  
\_\_\_\_\_

# Madison County Mass Transit District Boundaries



District Member Townships



## Public Hearing Briefing Paper

**Subject:** JARC / New Freedom Public Hearing for CY 2010 Applications

**Date:** Monday, February 8, 2010

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**PUBLIC HEARING:** MCT hosted a public hearing on Monday, February 8, 2010 from 8:00 – 9:00 a.m. at the MCT Base of Operations in Granite City, Illinois. The purpose of the hearing was to inform interested parties of the proposed applications and solicit public comment.

**NOTICE:** Notice of the public hearing with the time, date and location, was published on Monday, February 1, 2010 in the "Edwardsville Intelligencer," a newspaper of general circulation in Madison County, Illinois. A "Certificate of Publication" for the notice is attached to this document.

**SUMMARY OF PUBLIC HEARING:** MCT staff members were present at the appointed time, date and location of the public hearing and were prepared with sign-in sheets, and summaries of the four projects being submitted for JARC and New Freedom funds. There were no members of the public present at the meeting and no comments were made.







Madison County Board  
Alan J. Dunstan, Chairman

Madison County Administration Building  
157 N. Main Street, Suite 165 • Edwardsville, IL 62025-1963  
Phone (618) 296-4341 • Fax (618) 296-4855  
email: cboard@co.madison.il.us

Joseph D. Parente  
Director of Administration

January 26, 2010

Jerry Kane  
Madison County Transit  
P.O. Box 7500  
Granite City, IL 62040

Dear Mr. Kane:

Few transit agencies provide the level of service that Madison County Transit (MCT) does for its elderly and disabled populations. MCT's Paratransit curb-to-curb service exceeds the ADA mandate and serves well beyond the  $\frac{3}{4}$  mile boundary, creating opportunities for individuals to reach medical appointments, employment opportunities and other daily errands. I am proud to preside as Board Chairman in a county where priority is given to these individuals.

For these reasons, I am writing to support MCT's application for a New Freedom grant to purchase vehicles for the continued operation of this life-sustaining service. Although there are thousands of residents who benefit from this service on a regular basis, I know of two individuals in particular who are grateful for MCT's expanded curb-to-curb service.

First there's Marjorie. She lives in New Douglas, in the far northeast corner of Madison County. The nearest fixed-route is more than 12 miles away, but Marjorie has end-stage renal disease and must be transported from her home to Dialysis in Maryville, several times a week. Without MCT's expansion of Paratransit service, she would not have access to Dialysis.

There's also a young man named Tommy, who is developmentally disabled. Tommy lives in rural Godfrey, at least five miles beyond the  $\frac{3}{4}$  mile boundary. He can't drive but he's still able to work at McDonald's in Alton, stay involved with social organizations, go shopping and participate in public meetings throughout the county. All of this is possible for Tommy because MCT exceeds the ADA mandate.

MCT's Paratransit service is vital to the lives of Madison County residents, and I am hopeful that this application will be successful in obtaining funds for bus acquisition, enabling this service to continue.

Respectfully,

A handwritten signature in black ink that reads "Alan J. Dunstan".

Alan Dunstan  
Madison County Board Chairman



The William M. BeDell  
Achievement and Resource Center  
*A 501(c)(3) not-for-profit organization*

Jerry Kane  
Madison County Transit  
One Transit Way  
P. O. Box 7500  
Granite City, IL 62040-7500

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President

Jim Mihalich  
1st Vice President

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2nd Vice President

Dorothy Ebbeler  
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William Robinson, M.D.

Norma Showers

Mark Vaughn

Thelma E. Hausafus  
1909-1996

EXECUTIVE DIRECTOR

Gary Osborne

Dear Mr. Kane:

Since 1957, The William M. BeDell Achievement and Resource Center in Wood River has provided services and programs for children and adults who have developmental disabilities in Madison County, Illinois. Many of these Individuals live beyond the ¼ mile ADA boundary, but still require transportation to and from our center.

Thanks to your efforts, these clients are transported to and from our facility and are able to receive the training, the education and the care they need.

On behalf of The William M. BeDell ARC, I support Madison County Transit's application for a New Freedom grant to purchase vehicles for their Runabout program. This curb-to-curb Paratransit service provides life-sustaining transportation for dozens of our clients, extending to these Individuals an opportunity to develop life skills and build community.

Although, they are not required to do so, by exceeding the ADA mandate and serving patrons beyond the ¼ mile area, MCT offers a critical service for Individuals with disabilities that other social service agencies and municipalities would not be able to provide. Thank you for your continued efforts.

Sincerely,

Bill Lawson  
Program Director  
William M. BeDell ARC



*Serving Individuals with a Developmental Disability*



Granite City Dialysis  
9 American Village Shopping Center  
Granite City, IL 62040  
Tel: 618.452.5858 | Fax: 618.452.6868  
[www.davita.com](http://www.davita.com)

January 20, 2010

Jerry Kane  
Madison County Transit  
PO Box 7500  
Granite City, IL 62040

Dear Mr. Kane,

I am writing to support Madison County Transit's 2010 New Freedom application for the purchase of four Paratransit vehicles, which will enable you to exceed the ADA mandate and provide county-wide curb-to-curb transportation for medical trips.

The Paratransit service that you provide for the elderly and disabled for Madison County residents is so important to their daily lives. As a dialysis center, it is critical for our clients to be transported to and from our centers. The fact that your service goes miles and miles beyond a fixed-route to transport passengers to these life-sustaining medical appointments is evidence of your commitment to senior citizens and persons with disabilities.

I am proud to live in a county where an agency like Madison County Transit is going beyond state and federal requirements to assist those who are less fortunate. Again, I strongly support this application for vehicles and thank you for your continued efforts.

Sincerely,

A handwritten signature in cursive script that reads "Jackie Ballard".

Jackie Ballard,  
Davita Dialysis